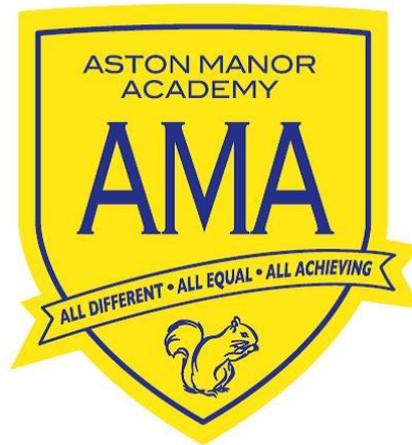


ASTON MANOR ACADEMY



Non-Examination Assessment Policy

Review Date: July 2019

To be Reviewed: July 2020

Agreed: Headteacher

Policy Lead: Jill Sweeney/Gemma
Waldron-Healy

Contents

1. Aims	3
2. Legislation.....	3
3. Definition	3
4. Roles and responsibilities	3
5. Task setting.....	4
6. Task taking.....	4
7. Authentication.....	7
8. Task marking.....	8
9. Malpractice.....	8
10. Enquiries about results.....	9
11. Monitoring.....	9
12. Supporting Documentation	9

1. Aims

This policy aims to:

- Cover procedures for planning and managing non-examination assessments
- Define staff roles and responsibilities with respect to non-examination assessments
- Manage risks associated with non-examination assessments

2. Legislation

The Joint Council for Qualifications (JCQ) requires each exam centre to have a non-examination assessment policy. This is outlined in the [JCQ's instructions for conducting non-examination assessments](#), which we refer to when carrying out non-examination assessments in our school.

This policy also takes into account the [JCQ's guidance on post-results services](#) and [general regulations for approved centres](#).

This policy also complies with our funding agreement and articles of association.

3. Definition

Non-examination assessments measure subject-specific knowledge and skills that cannot be tested by timed written papers. There are three assessment stages and rules which apply to each stage. The rules often vary across subjects. The stages are task setting, task taking and task marking.

4. Roles and responsibilities

This section sets out the key responsibilities of staff in relation to non-examination assessments. For more detailed guidance, staff should read JCQ guidance referred to on page 2.

4.1 Head of centre

In our school, the head of centre is **Jill Sweeney** (Headteacher)

The head of centre is responsible for:

- Ensuring that the centre's non-examination assessment policy is fit for purpose
- Ensuring that non-examination assessments comply with latest JCQ guidance and awarding body subject-specific instructions
- Ensuring that candidates receive guidance and instructions on conduct for non-examination assessments, prior to assessments taking place
- Ensuring the centre's internal appeals procedure clearly details the procedure to be followed by candidates (or their parents/carers) appealing against an internal assessment decision, and that details of this procedure are communicated and made widely available and accessible
- Drawing to the attention of candidates and their parents/carers the centre's complaints procedure, for general complaints about the centre's delivery or administration of a qualification

4.2 Senior leaders

Senior leaders are responsible for:

- Ensuring that non-examination assessments comply with JCQ guidance and awarding body subject-specific instructions

4.3 Subject leaders

Subject leaders are responsible for:

- Familiarising themselves with JCQ instructions for conducting non-examination assessment
- Understanding and complying with specific instructions relating to non-examination assessment for the relevant awarding body
- Ensuring that individual teachers understand their responsibilities with regard to non-examination assessment
- Ensuring that teachers use the correct task for the year of submission and take care to distinguish between tasks and requirements for legacy and new specifications, where relevant
- Obtaining confidential materials/tasks set by awarding bodies in sufficient time to prepare for the assessment(s), where relevant, and ensuring that such materials are stored securely at all times
- Undertaking appropriate departmental standardisation of non-examination assessments

4.4 Teachers

Teachers are responsible for:

- Understanding and complying with JCQ instructions for conducting non-examination assessment
- Understanding and complying with the awarding body's specification, where provided, for conducting non-examination assessments, including any subject-specific instructions, teachers' notes or additional information on the awarding body's website
- Marking internally assessed work to the criteria provided by the awarding body using the AMA Student Feedback/Tutorials Proforma for Coursework
-

4.5 Exams officer

The exams officer is responsible for:

- Supporting the administration/management of non-examination assessment.

4.6 Special educational needs co-ordinator (SENCO)

The SENCO is responsible for:

- Ensuring that all relevant staff are aware of any access arrangements that need to be applied

5. Task setting

Where the centre is responsible for task setting, in accordance with specific awarding body guidelines, heads of department/teachers will:

- Select from non-examination assessment tasks provided by the awarding body, or
- Design their own tasks, in conjunction with candidates where permitted, using criteria set out in the specification

Teachers will ensure that candidates understand the assessment criteria for any given assessment task.

6. Task taking

Where appropriate to the component being assessed, the following arrangements apply unless the awarding body's specification says otherwise.

6.1 Supervision

- Invigilators are not required
- Centres are not required to display the JCQ 'no mobile phone' poster or JCQ 'warning to candidates'
- Candidates do not need to be directly supervised at all times
- The use of resources, including the internet, is not tightly prescribed, but teachers will always check the subject-specific requirements issued by the awarding body
- Teachers will ensure that:
 - There is sufficient supervision of every candidate to enable work to be authenticated
 - The work that an individual candidate submits for assessment is his/her own
- Work may be completed outside of the centre without direct supervision provided that the centre is confident that the work produced is the candidate's own
- Where candidates work in groups, the teacher will keep a record of each candidate's contribution
- The teacher will also:
 - Ensure that candidates understand the need to reference work
 - Give guidance on how to do this, and
 - Ensure that candidates are aware that they must not plagiarise other material

6.2 Advice and feedback

- As appropriate to the subject and component, teachers should advise candidates on aspects such as those listed below before work begins:
- Sources of information;
- Relevance of materials/concepts;
- Structure of the response (for example, chapter titles and content);
- Techniques of data collection;
- Techniques of data presentation;
- Skills of analysis and evaluation;
- Health & safety considerations, including the use of equipment;
- Potential ethical considerations;
- Security of their work.
- Teachers **must not** provide model answers or written frames specific to the task (such as outlines, paragraph headings or section headings).
- Unless specifically prohibited by the awarding body's specification, teachers may:
- Review candidates' work and provide oral and written advice at a general level
- Having provided advice at a general level, allow candidates to revise and redraft work.
- Assistance that goes beyond general advice will be recorded and either taken into account when marking the work or submitted to the external examiner. Examples of assistance beyond general advice:
 - Detailed specific advice on how to improve drafts to meet the assessment criteria;
 - Detailed feedback on errors and omissions which limits candidates' opportunity to show initiative themselves;
 - Direct intervention to improve the presentation or content of work.

If assistance goes beyond general advice, teachers will use annotations to explain how marks were applied in the context of the additional assistance given.

- Teachers will not provisionally assess work and then allow candidates to revise it.
- Explicitly prohibited assistance will not be given.
- Failure to follow this procedure constitutes malpractice

6.3 Resources

- Teachers will be aware of the awarding body's restrictions with regard to access to resources
- Unless otherwise specified by the awarding body, in formally supervised sessions candidates can only take in preparatory notes. They will not access the internet nor bring in their own computers or electronic devices
- Candidates will not introduce new resources between formally supervised sessions
- Preparatory work and the work to be assessed will be collected and stored securely at the end of each session and will not be accessible to candidates

6.4 Group work

- Unless the specification says otherwise, candidates are free to collaborate when carrying out research and preparatory work
- Where it is permitted, some assignments may be undertaken as part of a group
- Where an assignment requires written work to be produced, each candidate will write up his/her own account of the assignment. Individual contributions will be clearly identified
- Group assessment is not permitted

6.5 Presentation of work

- Allow candidates to word process written work; allow candidates to use the spell/grammar check when they are word processing.

Encourage candidates submitting handwritten work to use black ink and write legibly.

Submit word processed or handwritten work on A4 paper unless the specification states otherwise.

- Instruct candidates to insert the following details on each page as a header:
- 5 digit centre number
- Candidate number (GCSEs) or candidate registration number (BTEC course).
- Component code
- Remove bulky covers and folders before work is sent for moderation or external marking.

6.6 Keeping materials secure

Where candidates are producing work over a period of time under formal supervision their work **must** be stored securely, in the examinations safe. With the exception of candidate produced artefacts (e.g. Art & Design) which may be stored in a supervised and/or locked classroom.

Teachers are permitted to take work home to mark, as long as they take sensible precautions regarding its security.

7. Authentication

Teachers will be sufficiently familiar with the candidate's general standard to judge whether the piece of work submitted is within his/her capabilities.

Where required by the awarding body's specifications:

- Candidates will sign a declaration to confirm that the work they submit for final assessment is their own unaided work
- Teachers will sign a declaration of authentication after the work has been completed confirming that:
 - The work is solely that of the candidate concerned
 - The work was completed under the required conditions
 - Signed candidate declarations are kept on file by teachers.

If there is concern that malpractice may have occurred or the work is unable to be authenticated, the senior leadership team will be informed.

8. Task marking

8.1 Internally assessed work

Teachers are responsible for marking work in accordance with the relevant marking criteria. Annotation will be used to provide evidence to indicate how and why marks have been awarded.

We will inform candidates of internally assessed marks as candidates are allowed to request a review of the centre's marking before marks are submitted to the awarding body.

We will also make it clear to candidates that any internally assessed marks are subject to change during the moderation process.

8.2 Externally assessed work

The format of external assessment will depend on the awarding body's specification and the component being assessed.

The Examinations Officer will ensure the attendance register is completed, clearly indicating those candidates who are present or absent. Centre copies of attendance registers must be stored securely by the Examinations Officer.

Where candidates' work needs to be dispatched to an examiner, Heads of Department will ensure it is sent by the date specified by the awarding body.

8.3 Submission of marks and work for moderation

Teachers are responsible for ensuring they meet awarding body deadlines for submission of marks, and candidates work for moderation.

Marks for all candidates, not just the sample submitted, must be checked by the centre for both addition and transcription errors before submission to the exam board.

8.4 Storage and retention of work after submission of marks

Teachers are responsible for:

- Holding a register of candidate names and candidate numbers/registration numbers for those whose work was included in the moderation sample.
- Retaining marked work under secure conditions until after the deadline for reviews of results.

9. Malpractice

The head of centre and senior leaders will make sure teaching staff involved in supervising candidates are aware of the potential for malpractice.

Teachers will familiarise themselves with the [JCQ guidance on sharing assessment material and candidates' work](#).

Teachers will be vigilant in relation to candidate malpractice. Candidates must not:

- Submit work which is not their own
- Make their work available to other candidates through any medium, including social media
- Allow other candidates to have access to their own independently sourced material
- Assist other candidates to produce work
- Use books, the internet or other sources without acknowledgement or attribution
- Submit work that has been word processed by a third party without acknowledgement
- Include inappropriate, offensive or obscene material

Failure to report allegations of malpractice or suspected malpractice constitutes malpractice in itself. Malpractice will be reported to senior leaders or directly to the awarding body.

10. Enquiries about results

We will make candidates aware of the arrangements for enquiries about results before they take any assessments.

Senior members of staff will be accessible to candidates immediately after the publication of results so that results may be discussed and decisions made on the submission of enquiries.

A review of marking is available for externally assessed components. We will obtain written consent from candidates for reviews of marking, and inform candidates that their marks may be lowered as a result of a review of marking.

A review of moderation is available for internally assessed components only when marks have been changed by an awarding body during moderations. If marks have been accepted without change, this will not be available. A review of moderation is not available for an individual candidate.

11. Monitoring

Adherence to this policy will be reviewed by SLT across the school, and Heads of Department (or designated representatives i.e. UPS holder) within each subject area.

This policy will be reviewed by **Jill Sweeney (Head Teacher)** at the beginning of each academic year. At every review, the policy will be shared with, and approved by the governing board.

12. Supporting Documentation

- Student Feedback/Tutorials Proforma for Coursework
- Student Coursework Malpractice Agreement



Student Coursework Malpractice Agreement

I (full name) _____ agree to the following terms to study _____ .

- Coursework, I submit is my own work.
- Not to make my work available to other candidates through any medium, including social media.
- Not assist other candidates to produce work.
- Use books, the internet or other sources without acknowledgement or attribution.
- Not to submit coursework that has been words processed by a third party without acknowledgment.
- Include any inappropriate, offensive or obscene material.

If I break any of the above terms, I am aware that I have carried out malpractice. This could result in me being removed from the course.

Student signature: _____

Date: _____

Student Feedback/Tutorials Proforma for **Coursework**

Subject:	Unit/Topic:
Name:	Class/set:
Date:	Teacher:

Top Tip: If students have spelling or grammar mistakes in their work, please return to student to amend before marking

General strengths:

- 1.
- 2.
- 3.

General improvements linked to assessment criteria:

- 1.
- 2.
- 3.

General error/admissions/ to include presentation:

- 1.
- 2.
- 3.

Agreed deadline: _____

Student signature: _____

Teacher signature: _____

NOTE:

Do not grade work on provisional marking (if you do this the student will not be able to improve their work)